



H. ROY BERKENSTOCK  
OF COUNSEL

# 6  
**GARVEY, SMITH, NEHRBASS & DOODY, L.L.C.**

ATTORNEYS AT LAW

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May 16, 2002

The Commissioner of Patents  
U.S. Patent and Trademark Office  
Box Duplicate OIPE  
P.O. Box 2327  
Arlington, VA 22202-2327

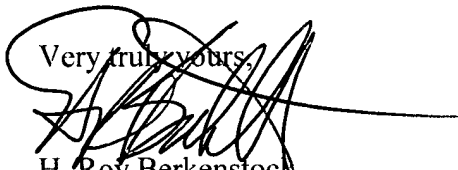
Re: U.S. Patent Application Ser. No. 09/944,333  
Applicant: Sheth, et al  
For: SELECTIVE SHARING OF ACCOUNT INFORMATION ON AN INTERNET  
AGGREGATION SYSTEM

Dear Sir:

Enclosed are:

1. Original and one copy of transmittal letter authorizing fees to be charge to deposit account
2. Copy of Request for Substitute Papers
3. Copy of Notice of Incomplete Reply
4. Response to Request for Substitute Papers and Notice of Incomplete Reply
5. Certificate under 37 CFR 1.8(a)
6. Substitute drawing sheets (40) sheets, and
7. Firm return receipt post card.

Very truly yours,



H. Roy Berkenstock  
Reg. No. 24,719

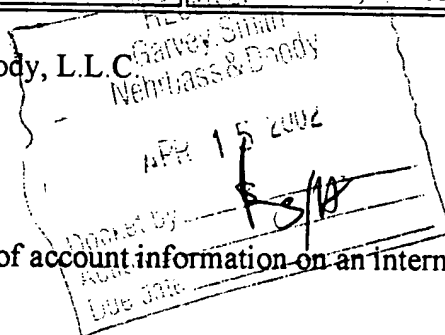


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/944,333	08/30/2001	Sheth, Dinesh	A01210US

Garvey, Smith, Nehrbass & Doody, L.L.C.  
Suite 3290  
3838 N. Causeway Blvd.  
Metairie LA 70002



98296.2  
A01210US  
HCB

**Title:** Secure selective sharing of account information on an Internet information aggregation system

Date Mailed: 04/09/2002

## Request for Substitute Papers

The papers filed on 02/07/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

RECEIVED  
APR 15 2002  
Garvey, Smith, Nehrbass & Doody, L.L.C.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: H. Roy BERKENSTOCK Registration No.: 24,719

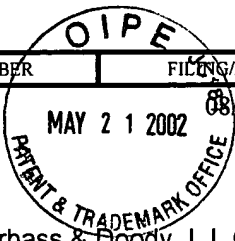
Signature: [Signature] Date: 05/16/02



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/944,333	08/30/2001	Dinesh Sheth	A01210US



Garvey, Smith, Nehrbass & Doody, L.L.C.  
Suite 3290  
3838 N. Causeway Blvd.  
Metairie, LA 70002

CONFIRMATION NO. 1867

## FORMALITIES LETTER



\*OC000000007721168\*

Date Mailed: 03/26/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 02/07/2002 to the Notice to File Missing Parts (Notice) mailed 10/11/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*L. MOGUS*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Here Applicant:

Dinesh Sheth and Bysakh Bhasi

Filed: August 30.2001

Serial No. 09/944,333

For: SELECTIVE SHARING OF ACCOUNTS  
INFORMATION ON AN INTERNET  
INFORMATION AGGREGATION  
SYSTEM

\_\_\_\_\_

Art Unit:

**Examiner:**

Attorney Docket No.: A98297US

## RESPONSE TO REQUEST FOR SUBSTITUTE PAPERS

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

Applicant acknowledge receipt of the Request for Substitute Papers issued in the above identified application on April 15, 2001 (copy enclosed) requesting a copy of the papers filed 02/07/02 (in response to a Notice of Incomplete Reply for drawings not in compliance with 37 CFR 1.84 because of margins). Days earlier, Applicants received a Notice of Incomplete Reply (Nonprovisional) stating that the substitute drawings filed 02/07/02 failed to meet the drawing requirements (copy enclosed). Applicants are confused, since the second notice does not identify which of the drawings don't comply and the Request infers the 02/07/02 submission was otherwise acceptable, except for mail damage. To Applicants, they all appeared to comply.

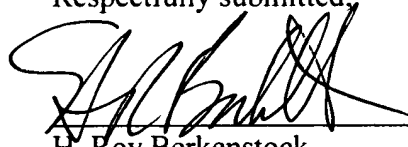
In order to expeditiously resolve the issues, Applicants are again supplying substitute drawings, the clearest copies available since prior submissions have depleted Applicants' supply of originals, with the hope that the drawings are now acceptable to the Patent Office.

The Request for Substitute Papers indicates that the reply be submitted by facsimile however, since the requested materials are drawings, Applicants are also submitting the reply to Box Duplicate OIPE, as indicated in the Request.

The Commissioner is authorized to charge any fees which may be due in Applicants compliance with the identified submissions to Deposit Account 50-0694. A duplicate of this paper is enclosed for such purpose.

If the Examiner feels that a telephone conference with Applicant's attorney would advance the prosecution of the application, he is invited to call the undersigned at 901-683-8757.

Respectfully submitted,



H. Roy Berkenstock  
Registration No. 24,719

Date: May 16, 2002

GARVEY, SMITH, NEHRBASS & DOODY, L.L.C.  
Three Lakeway Center, Suite 3290  
3838 N. Causeway Boulevard  
Metairie, Louisiana 70002  
Telephone: (504) 835-2000  
Facsimile: (504) 835-2070

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, BOX DUPLICATE OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington VA 22202-2327, on May 16, 2002.



H. Roy Berkenstock  
Registration No. 24,719

Date: May 16, 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	§	
	§	
Dinesh Sheth and Bysakh Bhasi	§	
	§	Attorney Docket No. A01210US
Filed: August 30, 2001	§	
	§	
Serial No. 09/944,333	§	
	§	
For: SELECTIVE SHARING OF	§	
ACCOUNT INFORMATION ON	§	
AN INTERNET INFORMATION	§	
AGGREGATE SYSTEM	§	

RESPONSE TO NOTICE TO FILE  
CORRECTED APPLICATION PAPERS

Commissioner of Patents  
United States Patent and Trademark Office  
Washington, D.C.20231

Dear Sir:

In response to the Notice to File Corrected Application Papers mailed October 11, 2001,  
Applicant herewith submits substitute drawings in compliance with 37 CFR 1.84, consisting of forty  
(40) sheets.

The Commissioner is authorized to charge the \$72 balance due on the filing fee and any  
additional fees to Deposit Account 50-0694. A duplicate of this sheet is enclosed.

Respectfully submitted,

Date: December 6, 2001

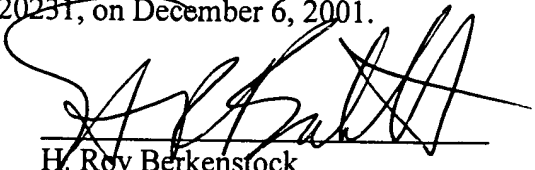
H. Roy Berkenstock  
U.S. Reg. No. 24,719

GARVEY, SMITH, NEHRBASS & DOODY, L.L.C.  
Three Lakeway Center, Suite 3290  
3838 North Causeway Blvd.  
Metairie, LA 70002  
Phone: 504-835-2000  
Fax: 504-835-2070

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231, on December 6, 2001.



  
H. Roy Berkenstock  
Reg. No. 24,719



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/944,333	08/30/2001	Dinesh Sheth	A01210US

CONFIRMATION NO. 1867

## FORMALITIES LETTER



\*OC000000006890657\*

Garvey, Smith, Nehrbass & Doody, L.L.C.  
Suite 3290  
3838 N. Causeway Blvd.  
Metairie, LA 70002

Date Mailed: 10/11/2001

The required items noted below **SHOULD** be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- Total additional claim fee(s) for this application is \$72.
  - \$72 for 34 total claims over 20.
- The balance due by applicant is \$ 72.

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

***Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

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*A copy of this notice **MUST** be returned with the reply.*



  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

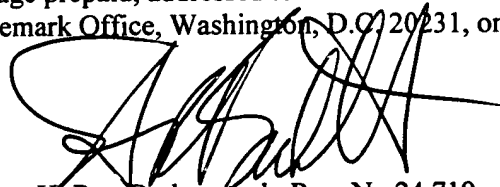


The Assistant Commissioner for Patents acknowledges receipt of:

1. Original and one copy of transmittal letter authorizing fees to be charged to deposit account;
2. Copy of Notice to File Corrected Application Papers;
3. Response to Notice to File Corrected Application Papers;
4. Certificate Under 37 CFR 1.8(a);
5. Substitute drawing sheets (40 sheets); and
6. Firm return receipt post card

Applicant: Dinesh Sheth and Bysakh Bhasi

Mailed First Class Mail, postage prepaid, addressed to The Commissioner of Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, on December 6, 2001.



H. Roy Berkenstock, Reg. No 24,719

A01210US